

# Cultivating a Sound Bank Culture - Reflections on Ethics and Beyond

Programme Code: HGCP00P24101

**10 October 2024 (Thursday)**  
**12:00nn – 2:00pm**



**2 HOURS**  
HKIB ECF SFC  
CPD CPD CPT

## Programme Outline

- Refresher and updates on regulations related to ethics and conduct e.g. HKMA SPM CG-3
- Reflections on ethical dilemmas though lessons learned - including local and overseas cases involving integrity issues
- Case study exercises – going through decision making process
- Sound bank culture and conduct – looking ahead

## Speaker Introduction

The speaker has over 20 years' experience in the financial services industry. Currently, she teaches compliance and regulations in a local university as an adjunct associate professor. In addition, she provides professional training and consultancy services to financial institutions. Previously, she served as the Head of Compliance for private banks. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation from the London School of Economics and Political Science.

## Target Audience

1. Banking Partitioners
2. Compliance and AML Officers, Financial Professionals
3. HKIB Professional Qualification holders :

**CAML P AAML P CRWP ARWP**

**ACsP ACRP CORP AORP**

**CCRP (CL) CCRP (CPM) C PFinT (M) C PFinT (S-OBAP)**

**C PFinT (S-AIBD) C PFinT (S-DLT) C PFinT (A) C PFinT (S-RT)**



## Programme Delivery

Virtual Classroom (Zoom)  
Cantonese

## Early Bird DEADLINE

26 September 2024 (Thursday)

## Application DEADLINE

3 October 2024 (Thursday)



**APPLY NOW!**

Enquiry :  
(852) 2153 7800  
[programme@hkib.org](mailto:programme@hkib.org)  
<https://hkib.org/page/185>

**PRICE LIST**

Early Bird

Regular Price

Individual  
Member  
HKD

600

HKD660

Staff of  
Corporate  
Member  
HKD

720

HKD790

Non-  
Member  
HKD

800

HKD880